

# Lecture 16: Divide and Conquer Algorithms and Recurrences

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Please use the notes on Canvas not 10.6 Grimaldi.

**What is the fastest algorithm for sorting an array of  $n$  numbers ?**

**What is the fastest algorithm to multiply two polynomials of degree  $n$  ?**

# Sorting Algorithms

Suppose we want to sort an  $A$  of  $n$  integers e.g.

$$A = \begin{bmatrix} 9 & 3 & 11 & 2 & 6 & 13 & 5 \end{bmatrix}$$

To compare sorting algorithms, by tradition, we count the number of comparisons they do. Bubblesort does exactly  $n(n-1)/2$  comparisons. Mergesort does at most  $n \log_2 n - n + 1$  comparisons. Below is a table for various values of  $n$  comparing the number of comparisons of these two algorithms.

	$n$	4	16	64	1024	$10^6$
Bubblesort	$n(n-1)/2$	6	120	2016	523776	approx $5 \times 10^{11}$
Mergesort	$n \log_2 n - n + 1$	5	49	321	9217	approx $20 \times 10^6$

For  $n = 10^6$  Mergesort does a factor of over 25,000 fewer comparisons!

**Demo Mergesort**

```
1: void Merge( int A[], int n1, int B[], int n2, int C[] ) {  
2: // Merge the sorted arrays A of length n1 and B of length n2 into C  
3:     int i,j,k;  
4:     i = j = k = 0;  
5:     while( i<n1 && j<n2 )  
6:         if( A[i]<B[j] ) { C[k] = A[i]; i++; k++; }  
7:         else { C[k] = B[j]; j++; k++; }  
8:     while( i<n1 ) { C[k] = A[i]; i++; k++; }  
9:     while( j<n2 ) { C[k] = B[j]; j++; k++; }  
10:    return;  
11: }
```

Figure: C code for merging two sorted arrays A and B into the array C

# The Mergesort Algorithm

```
1: void Mergesort( int A[], int n, int C[] ) {
2: // sort A[0],A[1],...,A[n] into ascending order
3: // C is an array of length n for working storage
4:   int n1,n2,*B;
5:   if( n<=1 ) return;
6:   n1 = n/2;
7:   n2 = n-n1;
8:   B = A + n1;
9:   Mergesort(A,n1,C); // sort the first half of A
10:  Mergesort(B,n2,C); // sort the second half of A
11:  Merge(A,n1,B,n2,C); // merge A and B into C
12:  for( i=0; i<n; i++ ) A[i] = C[i]; // copy C into A
13:  return;
14: }
```

Solving  $C(n) \leq 2C(n/2) + n - 1$  with  $C(1) = 0$ .

# Divide and Conquer Algorithms

Suppose we are given a problem of size  $n$ .

- S1: Divide the problem into  $a \geq 2$  subproblems of approximately the same size, say size  $b$ . Algorithm Mergesort divided  $A$  into  $a = 2$  subproblems of size  $n_1 = n/2$  and  $n_2 = n - n_1$ .
- S2: Solve the subproblems recursively using the same “divide-and-conquer” approach.
- S3: Combine the results from the subproblems to obtain the final solution. Algorithm Mergesort merges two sorted arrays of size  $n_1$  and  $n_2$  into one sorted array of size  $n$ .

Example. Adding an array of numbers.

```
1: double Add( double A[], int n ) {  
2: // Add A[0]+A[1]+...+A[n-1]  
3:     double s1,s2,*B;  int n1,n2;  
4:     if( n==1 ) return A[0];  
5:     n1 = n/2; n2 = n-n1;  
6:     s1 = Add(A,n1); // s1 = A[0]+A[1]+...+A[n1-1]  
7:     B = A + n1; // B is a subarray of A starting at n1  
8:     s2 = Add(B,n2); // s2 = A[n1]+A[n2+1]+...+A[n-1]  
9:     return s1+s2;  
10: }
```

# Solving recurrences using Maple's rsolve command.

A second order recurrence

```
> re := a(n) = 5*a(n-1) - 6*a(n-2);
```

$$re := a(n) = 5a(n-1) - 6a(n-2)$$

```
> rsolve( {re,a(0)=1,a(1)=4}, a(n) );
```

$$23^n - 2^n$$

The mergesort recurrence

```
> re := c(n) = 2*c(n/2) + n-1;
```

$$re := c(n) = 2c(n/2) + n - 1$$

```
> expand( rsolve( {re, c(1)=0}, c(n) ) );
```

$$-n + \frac{\ln(n)n}{\ln(2)} + 1$$