

# MACM 401/MATH 819

## Assignment 3, Spring 2015.

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Due Friday February 27th at 2pm.

Late Penalty:  $-20\%$  for up to 70 hours late. Zero after that.

For problems involving Maple calculations and Maple programming, you should submit a printout of a Maple worksheet of your Maple session.

### Question 1: The Fast Fourier Transform (30 marks)

- (a) Let  $n = 2m$  and let  $\omega$  be a primitive  $n$ 'th root of unity. To apply the FFT recursively, we use the fact that  $\omega^2$  is a primitive  $m$ 'th root of unity. Prove this.

Also, for  $p = 97 = 3 \times 2^5$ , find a primitive 8'th root of unity in  $\mathbb{Z}_p$ . Use the method in Section 4.8 which first finds a primitive element  $1 < \alpha < p-1$  of  $\mathbb{Z}_p$ . Then  $\omega = \alpha^{(p-1)/n}$  is a primitive  $n$ 'th root of unity.

- (b) What is the Fourier Transform for the polynomial  $a(x) = 1 + x + x^2 + \dots + x^{n-1}$ , i.e. what is the vector  $[a(1), a(\omega), a(\omega^2), \dots, a(\omega^{n-1})]$ ?
- (c) Let  $M(n)$  be the number of multiplications that the FFT does. A naive implementation of the algorithm would lead to this recurrence:

$$M(n) = 2M(n/2) + n + 1 \quad \text{for } n > 1$$

with initial value  $M(1) = 0$ . In class we said that if we pre-compute the powers  $\omega^i$  for  $0 \leq i \leq n/2$  and store them in an array  $W$ , we can save half the multiplications in the transform so that

$$M(n) = 2M(n/2) + \frac{n}{2} \quad \text{for } n > 1.$$

By hand, solve this recurrence and show that  $M(n) = \frac{1}{2}n \log_2 n$ .

- (d) Program the FFT in Maple as a recursive procedure. Your Maple procedure should take as input  $(n, A, p, w)$  where  $n$  is a power of 2,  $A$  is an array of size  $n$  created with `Array(0..n-1)` storing the input coefficients  $a_0, a_1, \dots, a_{n-1}$ ,  $p$  a prime and  $w$  a primitive  $n$ 'th root of unity in  $\mathbb{Z}_p$ . If you want to precompute an array  $W = [1, w, w^2, \dots, w^{n/2-1}]$  of the powers of  $w$  to save multiplications you may do so.

Test your procedure on the following input. Let  $A = [1, 2, 3, 4, 3, 2, 1, 0]$ ,  $p = 97$  and  $w$  be the primitive 8'th root of unity.

To see if your output  $B$  is correct, verify that when you apply the inverse FFT to  $B$  you get back  $A$ . Alternatively check  $FFT(n, B, p, w^{-1}) = nA \pmod p$ .

- (e) Let  $a(x) = -x^3 + 3x + 1$  and  $b(x) = 2x^4 - 3x^3 - 2x^2 + x + 1$  be polynomials in  $\mathbb{Z}_{97}[x]$ . Calculate the product of  $c(x) = a(x)b(x)$  using the FFT.

If you could not get your FFT procedure from part (c) to work, use the following one which computes  $[a(1), a(w), \dots, a(w^{n-1})]$  using ordinary evaluation.

```

FFTfake := proc(n,A,p,w)
local f,x,i,C,wi;
  f := add(A[i]*x^i, i=0..n-1);
  C := Array(0..n-1);
  wi := 1;
  for i from 0 to n-1 do
    C[i] := Eval(f,x=wi) mod p;
    wi := wi*w mod p;
  od;
  return C;
end:

```

### Question 2: The Modular GCD Algorithm (15 marks)

Consider the following pairs of polynomials in  $\mathbb{Z}[x]$ .

$$\begin{aligned}
a_1 &= 58x^4 - 415x^3 - 111x + 213 \\
b_1 &= 69x^3 - 112x^2 + 413x + 113 \\
a_2 &= x^5 - 111x^4 + 112x^3 + 8x^2 - 888x + 896 \\
b_2 &= x^5 - 114x^4 + 448x^3 - 672x^2 + 669x - 336 \\
a_3 &= 396x^5 - 36x^4 + 3498x^3 - 2532x^2 + 2844x - 1870 \\
b_3 &= 156x^5 + 69x^4 + 1371x^3 - 332x^2 + 593x - 697
\end{aligned}$$

Compute the  $\text{GCD}(a_i, b_i)$  via multiple modular mappings and Chinese remaindering. Use primes  $p = 23, 29, 31, 37, 43, \dots$ . Identify which primes are bad primes, and which are unlucky primes. Use `Gcd(...)` mod  $p$  to compute a GCD modulo  $p$  in Maple and the Maple commands `chrem` to put the modular images together, `mods` to put the coefficients in the symmetric range, and `divide` for testing if the calculated GCD  $g_i$  divides  $a_i$  and  $b_i$ , and any others that you need.

PLEASE make sure you input the polynomials correctly!

### Question 3: Resultants (15 marks)

- Calculate the resultant of  $A = 3x^2 + 3$  and  $B = (x - 2)(x + 5)$  by hand.
- Let  $A, B, C$  be non-constant polynomials in  $R[x]$ . Show that  $\text{res}(A, BC) = \text{res}(A, B) \cdot \text{res}(A, C)$ .
- Let  $A, B$  be two non-zero polynomials in  $\mathbb{Z}[x]$ . Let  $A = G\bar{A}$  and  $B = G\bar{B}$  where  $G = \text{gcd}(A, B)$ . Recall that a prime  $p$  in the modular gcd algorithm is unlucky iff  $p|R$  where  $R = \text{res}(\bar{A}, \bar{B}) \in \mathbb{Z}$ . Consider the following pair of polynomials from question 4.

$$\begin{aligned}
A &= 58x^4 - 415x^3 - 111x + 213 \\
B &= 69x^3 - 112x^2 + 413x + 113
\end{aligned}$$

They are relatively prime, i.e.,  $G = 1$ ,  $\bar{A} = A$  and  $\bar{B} = B$ . Using Maple, compute the resultant  $R$  and identify all unlucky primes. For each unlucky prime  $p$  compute the gcd of the polynomials  $A$  and  $B$  modulo  $p$  to verify that the primes are indeed unlucky.

**Question 4: Division in  $R[x_1, x_2, \dots, x_n]$  (15 marks) (MATH 819 students only)**

Let  $R$  be an integral domain and  $A, B \in R[x_1, x_2, \dots, x_n]$  with  $B \neq 0$ . We will develop a different algorithm for division of  $A \div B$  based on the lexicographical monomial ordering.

- (a) Let  $X, Y, Z$  be monomials in  $x_1, x_2, \dots, x_n$ . We will use  $X >_{lex} Y$  to mean  $X > Y$  in the pure lexicographical monomial ordering. Prove that  $X >_{lex} Y \implies XZ >_{lex} YZ$  and use this to prove that  $lm(AB) = lm(A)lm(B)$ . Hence it follows that  $lc(AB) = lc(A)lc(B)$  and  $lt(AB) = lt(A)lt(B)$ .
- (b) Therefore if  $B|A$  then  $A = BQ$  for some quotient  $Q$  and  $lt(BQ) = lt(B)lt(Q)$  hence  $lt(B)|lt(A)$  and  $lc(B)|lc(A)$  in  $R$  and the monomial  $lm(B)|lm(A)$ . And if  $lt(B)$  does not divide  $lt(A)$  then  $B$  does not divide  $A$ .

Let  $q$  be the quotient  $lt(A)/lt(B)$ . Then we can compute  $C = A - Bq$  and proceed to test if  $B|C$ . Sketch an algorithm for dividing in  $R[x_1, x_2, \dots, x_n]$  and program it in Maple. Test your algorithm in  $\mathbb{Z}[x, y, z]$  for the following input  $A, B$ .

$$B = xyz + 3x^2 - 2xz + 4yz - 3$$

$$Q = -3y^2z + 2xy + z^2$$

$$A := BQ$$

Note, you will need to compute  $lt(A)$  in lexicographical order. The Maple command

```
> c := lcoeff(A, [x, y, z], 'm');
```

computes the leading coefficient  $c$  and leading monomial  $m$  in lexicographical order with  $x > y > z$ .

Note, a difficult step in developing this algorithm is proving termination. In the normal division algorithm in one variable  $x$  the degree of the remainder polynomials is strictly decreasing. But here in  $R[x_1, \dots, x_n]$ , even though we can show that  $lt(C) <_{lex} lt(A)$  it is far from obvious that the division algorithm must terminate in a finite number of steps. A proof of termination is given in MATH 441.